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SERIAL NO. 09/895,977

DOCKET NO. AUS920010235US1

DATE: January 6, 2006

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3623

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FROM:

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Docket	No. AUS920010235US1	Serial No	. 09/895,977	Atty:	MSW /	JVL
Applic	ant: Calderaro, et al.					
<u>x</u>	Transmittal Letter (2 copies)	<u>X</u>	Certificate of Facsimil	le		
	Amendment (pages)		Notice of Appeal			
	Amendment AF		Appeal Brief (3 copies	s)		
	Ext. of Time		Reply Brief			
<u>X</u>	IDS Statement		Change of Address			
<u>X</u>	Form PTO-1449 (Modified)			,		
<u>X</u>	Other: Copies of 2 non-patent refe	erences cit	ed in Form PTO 1449 (9 pages	3)	
Depos	it Acct. No. 09-0447					
Fees:	Amendment Notice of A	Appeal	Appeal Brief		Other	<u>\$ 0.00</u>
Attu Ref	F No. 1029					

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Joseph T. Van Leeuwen

Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Organizational Risk Based On Personnel Planning Factors

Enclosed are:

X Information Disclosure Statement;

X Modified form 1449 listing 2 references;

X Copies of 2 non-patent references (9 pages);

37 CFR § 1.97 is satisfied by the following:

X This IDS is being filed pursuant to CFR § 1.97(c) and:

X no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

Joseph T. Van Leeuwen

Attorney for Applicant Registration No. 44,383

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Respectfully submitted,

By ma ? Vista

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DATE: January 6, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: § Group Art Unit: 3623

Calderaro, et. al. §

Title: System and Method for § Intellectual Property Law Dept.

Organizational Risk Based On § 11400 Burnet Road Personnel Planning Factors § Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

Joseph T. Van Leeuwen Van Leeuwen & Van Leeuwen

Attorneys for Applicant Registration No. 44,383

Telephone: (512) 301-6738 Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				Serial No. <u>09/895,977</u> Applicant: <u>Calderaro, et. al.</u> Filing Date: <u>6/29/2001</u> Group: <u>3623</u> Atty. Docket No. <u>AUS920010235US1</u>						
			U. S. PATE	NT DOCUMENTS						
Examiner		Document			Classification	Filing Date if				
<u>Initial</u>		<u>Number</u>	<u>Date</u>	<u>Name</u>	Class / Subclass	Appropriate				
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<u>Initial</u>		<u>Number</u>	Date	<u>Country</u>	Classification	Yes No				
	AM									
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Examiner Initial	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
	AQ	"Oracle seizes the future with retention training," Workforce, 78, 12, 88. Dec. 1999. From DIALOG file 148, 11713011.								
	AŘ	McNally, J. Stephen, "Retaining valued employees," <u>Pennsylvania CPA Journal</u> , vol. 71, no. 4, p. 24-28, winter 2000. From DIALOG file 15, 02096148.								
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